



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN REPLY TO APPLICATION OF: ALEX HORNG ET AL.

SERIAL NO.: 09/888,403

GROUP ART UNIT: 2834

FILED: June 26, 2001

EXAMINER: J. Aguirrechea

FOR: STATOR WITH A RADIAL WINDING AND  
METHOD FOR MANUFACTURING SAME

ATTY. REFERENCE: HORN3041/EM/BEU

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is a communication/amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

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The fee, if any, has been calculated as shown below:

Fee Basis	Number of Claims After Amendment	Highest Number Previously Paid For	Extra Claims	Small Entity	Full Fee
Total Claims		<sup>1</sup> -	<sup>3</sup> =	× \$ 9 =	× \$ 18 =
Independent Claims		<sup>2</sup> -	<sup>3</sup> =	× \$ 40 =	× \$ 80 =
<input type="checkbox"/> First Presentation of Proper Multiple Dependent Claim				+ \$ 135 =	+ \$ 270 =
TOTAL					

<sup>1</sup> If less than 20 enter 20.<sup>2</sup> If less than 3 enter 3.<sup>3</sup> If less than 0 enter 0.

- ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees due under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is attached.
- ☒ Also enclosed is/are:

Appendix A (clean copy of amended claims)  
Appendix B (marked up copy of amended claims)  
Appendix C (clean copy of amended paragraphs)  
Appendix D (marked up copy of amended paragraphs)

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DATE: January 27, 2003

Respectfully submitted,

BENJAMIN E. URCIA

Attorney for Applicant

Registration Number: 33,805



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Alex HORNG *et al.*

Serial Number: 09/888,403

Filed: June 26, 2001

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Group Art Unit: 2834

Examiner: J. Aguirrechea

For: Stator With A Radial Winding And Method For Manufacturing Same

AMENDMENT AND RESPONSE

Honorable Commissioner For Patents  
Washington, D.C. 20231

Sir:

This paper is in response to the Official Action dated November 4, 2002. Kindly amend the application in accordance with the following particulars:

IN THE CLAIMS:

Please amend claims 5 and 6, as indicated by the clean copy in Appendix A and the marked-up copy in Appendix B, attached hereto.

IN THE SPECIFICATION:

Please amend the following paragraphs, as indicated by the clean copy in Appendix C and the marked-up copy in Appendix D, attached hereto: Page 1, lines 8-14; and Page 3, lines 17-26.

REMARKS

Reconsideration of the application is respectfully requested for the following reasons:

1. Rejection of Claims 5 and 7 Under 35 USC §103(a) in view of U.S. Patent No. 4,554,491 (Plunkett)

This rejection is respectfully traversed on the grounds that the Plunkett patent discloses a stator made up of a single pole plate assembly, rather than a plurality of separate coaxially-stacked and staggered pole plate assemblies, as now recited in claim 5.

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